MINUTES
AUDIT COMMITTEE

Tuesday 14 January 2020

Councillor Bob Collis (Chair)

Councillor Meredith Lawrence	Councillor Kathryn Fox
Councillor Liz Clunie	Councillor Helen Greensmith
Councillor Boyd Elliott	Councillor Jennifer Thomas

Officers in Attendance: A Ball and A Dubberley

19 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS.

None.

20 TO APPROVE, AS A CORRECT RECORD, THE MINUTES OF THE MEETING HELD ON 23 JULY 2019

RESOLVED:

That the minutes of the above meeting, having been circulated, be approved as a correct record.

21 DECLARATION OF INTERESTS.

None.

22 MAZARS ANNUAL AUDIT LETTER 2018/19

The Service Manager Financial Services presented a report which had been circulated in advance of the meeting, presenting the Annual External Audit Letter.

Members thanked the officers involved for their work in delivering the unqualified audit opninion.

RESOLVED:

To accept the Mazars Annual Audit Letter for 2018/19 at appendix 1 to the report, and refer it to Council for information.

23 CORPORATE RISK MANAGEMENT SCORECARD

The Service Manager Financial Services introduced a report which had been circulated prior to the meeting, updating members on the current

level of assurance that can be provided against each corporate risk. It was noted that the level of risk relating to risk 3 (failure to protect staff, including health and safety issues) had improved since the last meeting due to the completion of electronic risk assessments.

RESOLVED:

To note the progress of actions identified within the Corporate Risk Register.

24 COUNTER FRAUD AND CORRUPTION STRATEGY AND WHISTLEBLOWING POLICY AND PROCEDURE

The Service Manager Financial Service introduced a report, which had been circulated prior to the meeting, presenting the Counter Fraud and Corruption Strategy and the Whistleblowing Policy and Procedure for approval.

RESOLVED to:

1) Endorse the Counter Fraud and Corruption Strategy (Appendix 1 to the report) and the Whistleblowing Policy and Procedure (Appendix 2 to the report) for referral to Cabinet for approval; and

2) Note the key implementation actions at paragraph 2.5 of the report.

25 INTERNAL AUDIT PROGRESS REPORT 2019/20

The Service Manager Financial Services introduced a report, which had been circulated prior to the meeting, summarising the outcome of the internal audit activity completed by the RSM Internal Audit Team for the period July 2019 to December 2019.

An additional report, which gave further details of other assignments completed, was distributed at the meeting.

RESOLVED:

To note the actions taken or to be taken.

26 ANY OTHER ITEM WHICH THE CHAIR CONSIDERS URGENT.

None.

The meeting finished at 6.10 pm

Signed by Chair:
Date: